

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

Application No.:	10/069,180	Art Unit:	tba
Filed:	2/15/2002	Examiner:	tba
1 st Inventor:	S. OHKAWA	Allowed:	
For:	Tricyclic Dibenzofuran Derivatives, Process for the Preparation Thereof and Agents	Batch:	
Atty Dkt. No.	2628 USOP	Paper No.:	

The USPTO is requested to kindly acknowledge receipt of the following items:

1. This Postcard (1 page)
2. Transmittal Form (1 page X 2)
3. Supplemental Information Disclosure Statement (1 page) with Form PTO 1449 (1 page), and cited references (4)
4. European Search Report dated February 6, 2003 (4 pages)

Respectfully submitted 3/11/03 For Customer No. 23,115
By U.S. First Class Mail
By Mark Chao, PhD, JD - Reg. No. 37,293

118

Application No.:	10/069,180	Art Unit:	tba
Filed:	2/15/2002	Examiner:	tba
1 st Inventor:	S. OHKAWA	Allowed:	
For:	Tricyclic Dibenzofuran Derivatives, Process for the Preparation Thereof and Agents	Batch:	
Atty Dkt. No.	2628 USOP	Paper No.:	

The USPTO is requested to kindly acknowledge receipt of the following items:

1. This Postcard (1 page)
2. Transmittal Form (1 page X 2)
3. Supplemental Information Disclosure Statement (1 page) with Form PTO 1449 (1 page), and cited references (4)
4. European Search Report dated February 6, 2003 (4 pages)

Respectfully submitted 3/11/03 For Customer No. 23,115
By U.S. First Class Mail
By Mark Chao, PhD, JD - Reg. No. 37,293



U.S. Patent Application Serial NO.: 10/069,180

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

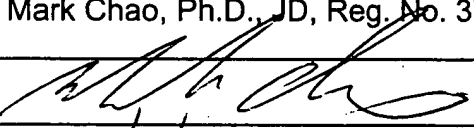
Approved for use through 10/31/2002. OMB 0651-0031

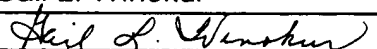
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/069,180	
	Filing Date	2/15/2002	
	First Named Inventor	S. OHKAWA	
	Group Art Unit	tba	
	Examiner Name	tba	
Total Number of Pages in This Submission	5	Attorney Docket Number	2628 USOP

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard. Form PTO 1449 Cited References (4)
Remarks		The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 500799.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Mark Chao, Ph.D., JD, Reg. No. 37,293
Signature	
Date	3/11/03

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 3/11/03			
Typed or printed name	Gail L. Winokur		
Signature		Date	3/11/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

U.S. Patent Application Serial No.: 10/069,180

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:	10/069180	Art Unit:
Filed:	2/15/2002	Examiner:
1 st Inventor:	OHKAWA	Allowed:
For:	Tricyclic Dibenzofuran Derivatives, Process for the Preparation Thereof and Agents	Batch:
Atty. Dkt. No.	2628US0P	Paper No.:

Information Disclosure Statement

Commissioner for Patents
Washington, D.C. 20231
Sir:

Pursuant to 37 CFR §1.56, 1.97 and 1.98, applicants request consideration of the references listed on the attached form PTO-1449. A legible copy of each listed reference is enclosed.

Enclosed is a copy of the European Search Report dated FEB 6, 2003, in which these references were first brought to the Applicant's attention less than three-months prior to filing this information disclosure statement.

Should the Examiner believe that a conference with applicants' attorney would advance prosecution of this application, the Examiner is respectfully invited to call applicants' attorney.

Respectfully submitted,



Dated: March 6, 2003

(847)383-3372
(847)383-3391

Mark Chao, Ph.D., Reg. No. 37,293
Elaine M. Ramesh, Ph.D., Reg. No. 43032
Attorney for Applicants
Customer No. 23115

Takeda Pharmaceuticals North America, Inc.
Intellectual Property Department
Suite 500, 475 Half Day Road
Lincolnshire, IL 60069 USA

Page 1 of 1		FORM PTO 1449 (modified)		ATTY DOCKET NO.: 2628US0P		SERIAL NO.: 10/069,180	
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)							
Date Submitted to PTO:				APPLICANT: OHKAWA et al.			
				FILING DATE: 2/15/2002		GROUP:	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF No.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	B1	5,420,289	May 30, 1995	Musser et al.	548	159	
	B2	5,478,844	DEC 26, 1995	Aono et al.	514	320	
FOREIGN PATENT DOCUMENTS							
*EXAMINER INITIAL	REF No.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	B3	EP 0 992 496 A1	12.04.2000	EP			
	B4	EP 0 345 593 A1	13.12.89	EP			
OTHER DOCUMENT(S)							
*EXAMINER INITIAL	REF No.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.					
EXAMINER:				DATE CONSIDERED:			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. Patent Application Serial NO.: 10/069,180